

# Highly Rectifying Conical Nanopores in Amorphous SiO<sub>2</sub> Membranes for Nanofluidic Osmotic Power Generation and Electroosmotic Pumps

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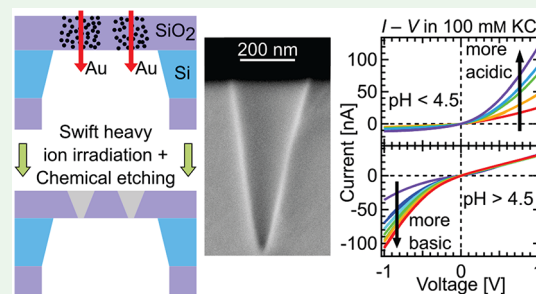
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**ABSTRACT:** Nanopore membranes are a versatile platform for a wide range of applications ranging from medical sensing to filtration and clean energy generation. To attain high-flux rectifying ionic flow, it is required to produce short channels exhibiting asymmetric surface charge distributions. This work reports on a system of track etched conical nanopores in amorphous SiO<sub>2</sub> membranes, fabricated using the scalable track etch technique. Pores are fabricated by irradiation of 920 ± 5 nm thick SiO<sub>2</sub> windows with 2.2 GeV <sup>197</sup>Au ions and subsequent chemical etching. Structural characterization is performed using atomic force microscopy, scanning electron microscopy, small-angle X-ray scattering, ellipsometry, and surface profiling. Conductometric characterization of the pore surface is performed using a membrane containing 16 pores, including an in-depth analysis of ionic transport characteristics. The pores have a tip radius of 5.7 ± 0.1 nm, a half-cone angle of 12.6 ± 0.1°, and a length of 710 ± 5 nm. The pK<sub>a</sub>, pK<sub>b</sub>, and pI are determined to 7.6 ± 0.1, 1.5 ± 0.2, and 4.5 ± 0.1, respectively, enabling the fine-tuning of the surface charge density between +100 and −300 mC m<sup>−2</sup> and allowing to achieve an ionic current rectification ratio of up to 10. This highly versatile technology addresses some of the challenges that contemporary nanopore systems face and offers a platform to improve the performance of existing applications, including nanofluidic osmotic power generation and electroosmotic pumps.

**KEYWORDS:** ion transport, SiO<sub>2</sub> nanopore membrane, swift heavy ion irradiation, nanofluidic osmotic power generation, electroosmotic pump, conductometry, track etched nanopore



## 1. INTRODUCTION

Over the past two decades, nanopore technology has developed into a versatile platform for a wide range of applications such as ultrafiltration, biosensing and medical sensing, nanofluidics, and nanoelectronic devices.<sup>1–5</sup> Solid-state nanopores are robust and durable under harsh conditions such as elevated temperature, extreme pH environments, and high pressure. They are highly tunable in geometry and surface properties and thus enable novel applications. Examples include filtration of water to remove contaminants such as oil<sup>6</sup> and dyes,<sup>7</sup> nanopore sensors for single molecules,<sup>8</sup> proteins,<sup>9</sup> blood sugar,<sup>10</sup> and drugs<sup>11</sup> as well as DNA,<sup>12</sup> ssDNA,<sup>13</sup> and protein sequencing.<sup>14</sup> The potential of using nanofluidic devices based on nanopore membranes for novel applications such as power generation<sup>15</sup> and electroosmotic pumps<sup>16</sup> has also been explored in detail.

Solid-state nanopore membranes can be manufactured in a wide range of materials such as semiconductors,<sup>17–20</sup> polymers,<sup>21–24</sup> alumina,<sup>25</sup> carbon,<sup>26</sup> graphene,<sup>27</sup> borophene,<sup>28</sup> and MoS<sub>2</sub><sup>29</sup> with only a single<sup>30</sup> or multiple<sup>31,32</sup> pores depending on the material and fabrication technique. One

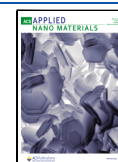
major challenge in current nanopore technology is to combine the high throughput rates that nanopores in ultrathin membranes provide with highly asymmetric transport properties characteristic of long, conical nanopores.<sup>33,34</sup> Furthermore, translating the performance of single pores to multipore systems without losing performance has proven to be challenging.<sup>15,35</sup>

Different techniques like e-beam lithography,<sup>36</sup> ion beam sculpting,<sup>17</sup> e-beam drilling using a transmission electron microscope,<sup>37</sup> focused ion beam drilling,<sup>38</sup> dielectric breakdown,<sup>39</sup> or laser-assisted pulling<sup>40</sup> can only be used to fabricate a single or few nanopores and thus lack the scalability that many applications require. Additionally, these techniques do not allow to precisely shape the geometry of the pores. Ion

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track etching has been shown to create versatile conical nanopores in polymers<sup>41</sup> and silicon nitride<sup>42</sup> in a way that is industrially scalable. In this work, we present a system of conical nanopores in thin amorphous SiO<sub>2</sub> (a-SiO<sub>2</sub>) membranes fabricated using ion track etching. Our membranes feature conical nanopores that exhibit opening angles far greater than those in track etched polymers,<sup>43,44</sup> while being an order of magnitude thinner. We can manufacture either single pores or multipores with adjustable pore density on large areas. As a-SiO<sub>2</sub> is widely used in the semiconductor industry, the fabrication techniques are precise and cost-efficient and can be readily integrated in lab-on-a-chip devices.

Here, we present the fabrication of multipore membranes and the detailed characterization of the structure and ionic transport properties. The potential performance gain of implementing this nanopore platform in an electroosmotic pump or nanofluidic osmotic power generation system in comparison to existing nanopore membranes is explored.

## 2. EXPERIMENTAL SECTION

**2.1. a-SiO<sub>2</sub> Membrane Fabrication.** Figure 1 shows the workflow involved in the fabrication of silicon dioxide membranes. Double side polished <100> silicon wafers of 100 mm diameter with 920 ± 5 nm wet thermal silicon dioxide were purchased from WaferPro, LLC (USA) (i). The wafers were then RCA cleaned before being spin-coated with a thin coating of TI prime (Microchemicals GmbH) for 25 s at 3000 rpm, which acts as an adhesion promoter. On the backside of the wafer, negative photoresist maN-1420 (Microchemicals GmbH) was spin-coated for 30 s at 3000 rpm (ii). After that, UV lithography was used to pattern custom windows measuring 475 × 475 μm<sup>2</sup> (iii). The silicon was then exposed in the customized window region by removing the a-SiO<sub>2</sub> layer using reactive ion etching from the backside of the wafer (iv). The photoresist was removed, and the exposed Si was then anisotropically etched using a wet etching solution containing 5% tetramethylammonium hydroxide (TMAH) (Sigma-Aldrich, 331635) which leads to the formation of membranes (v). TMAH has a higher selectivity of a-SiO<sub>2</sub>/Si than other etchants like potassium hydroxide, and the exposed Si can be completely etched without the need for extra silicon nitride layers. The membranes were then RCA cleaned to eliminate any contaminants they may have acquired during the process. On a 100 mm Si wafer, this method results in the creation of 220 a-SiO<sub>2</sub> membranes with a frame size of 5.6 × 5.6 mm<sup>2</sup> and a window size of 55 × 66 μm<sup>2</sup>.

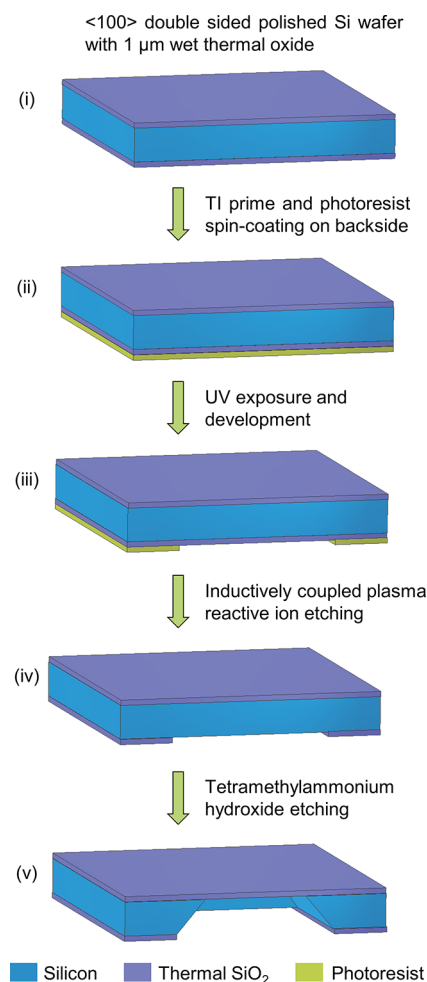
**2.2. Theoretical Background.** The total conductance of a membrane G<sub>m</sub> with *n* conical nanopores is the sum of the conductance of each individual nanopore G<sub>p</sub>, given by G<sub>m</sub> = ∑<sub>p</sub> G<sub>p</sub> = *n*G<sub>p</sub>. As the average distance between pores is on the order of 10 μm, interpore effects can be neglected.<sup>35</sup> The pore conductance consists of the bulk conductance G<sub>b</sub>, the surface conductance G<sub>s</sub>, and the access resistance at the cone tip R<sub>a,t</sub> and base R<sub>a,b</sub>:<sup>45,46</sup>

$$G_m = n \left( \frac{1}{G_b + G_s} + R_{a,t} + R_{a,b} \right)^{-1} \quad (1)$$

As charged conical nanopores often exhibit asymmetric transport properties between positive and negative applied voltage, we analyzed the conductance G<sub>0</sub> in the vicinity of 0 V where it is largely linear in a small bias interval. We determined the conductance in the linear regime of the *I*–*V* curve around 0 V between –0.1 and 0.1 V. The different contributions are<sup>47</sup>

$$G_{b,0} = \kappa_b \frac{\pi r_t r_b}{L} \quad (2)$$

$$G_{s,0} = \kappa_b \frac{r_b - r_t}{\ln\left(\frac{r_b}{r_t}\right)} \frac{\pi |\sigma|}{L ec} \quad (3)$$



**Figure 1.** Fabrication process of a-SiO<sub>2</sub> membranes. (i) Si wafer with a 920 nm layer of SiO<sub>2</sub> on each side is (ii) coated with photoresist on the backside. (iii) Using UV lithography, a pattern for the membranes is created, (iv) which is followed by reactive ion etching. (v) Finally, tetramethylammonium hydroxide etching removes the silicon selectively to SiO<sub>2</sub>, and after RCA cleaning, fabrication of the a-SiO<sub>2</sub> membranes is completed.

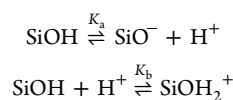
where  $\kappa_b$  is the bulk conductivity,  $e$  is the elementary charge,  $c$  is the salt concentration,  $r_t$  is the radius at the cone tip,  $r_b$  is the radius at the cone base,  $L$  is the pore length,  $\sigma$  is the surface charge density, and  $Du_i = |\sigma|/(ecr_i)$  is the Dukhin number at the pore orifices.

The access resistance according to Hall is given by<sup>45,46</sup>

$$R_{a,i} = \frac{1}{\kappa_b r_i} \frac{1}{4 + Du_i} \quad (4)$$

and describes the rate at which the ions pass into and out of the nanopore at the cone tip and base.

In a-SiO<sub>2</sub> nanopores, the surface charge is regulated by protonation and deprotonation reactions of silanol (SiOH) groups at the pore surface that can render the surface negatively or positively charged:<sup>48</sup>



with the acid and base dissociation constants  $K_a$  and  $K_b$ , that are related to the pK<sub>i</sub> of the surface  $K_i = 10^{-\text{pK}_i}$ . The surface charge density  $\sigma$  is controlled by the local  $\zeta$ -potential:<sup>47,49</sup>

$$\zeta(\sigma) = -\frac{k_B T}{e} \ln\left(\frac{\sigma(\zeta)}{e\Gamma + \sigma(\zeta)}\right) + \frac{k_B T \ln 10}{e} (\text{pH} - \text{p}K_a) - \frac{\sigma(\zeta)}{C} \quad (5)$$

with the Boltzmann constant  $k_B$  and the temperature  $T = 22$  °C, the surface density of chargeable sites  $\Gamma = 8 \text{ nm}^{-2}$ ,<sup>50–52</sup> and the Stern layer capacitance  $C = 2.9 \text{ F m}^{-2}$ .<sup>51,52</sup> The terms  $-\ln(\sigma/(e\Gamma + \sigma))$  and  $(\text{pH} - \text{p}K_a)$  are only valid for negatively charged surfaces and are replaced by  $\ln((e\Gamma - \sigma)/\sigma)$  and  $(\text{p}K_b - \text{pH})$  if the surface charge becomes positive, i.e., below the isoelectric point pI at which the surface carries no net charge.<sup>53</sup>

The surface charge density can be calculated using the planar Graham equation, if the electrical double layer (EDL) is not overlapping.<sup>52,54,55</sup> This is valid if the Debye length is smaller than the pore tip radius, which is the case for every used electrolyte

**Table 1. Debye Length  $\lambda_D$  (Calculated Using Eq 6) for Different KCl Concentrations<sup>a</sup>**

concentration, $c$ [mM]	Debye length, $\lambda_D$ [nm]	$\lambda_D/r_t$ [%]
0.1	30.8	540
1	9.72	171
10	3.07	54
100	0.97	17
1000	0.31	5.4

<sup>a</sup>Extension of the EDL through the nanopore at the pore tip indicated by the ratio of Debye length to tip radius  $\lambda_D/r_t$  for a tip radius of 5.7 nm.

concentration except two, as shown in Table 1. The surface charge density is then given as

$$\sigma(\zeta) = \frac{2\epsilon\epsilon_0 k_B T}{\lambda_D e} \sinh\left(\frac{e\zeta(\sigma)}{2k_B T}\right) \quad (6)$$

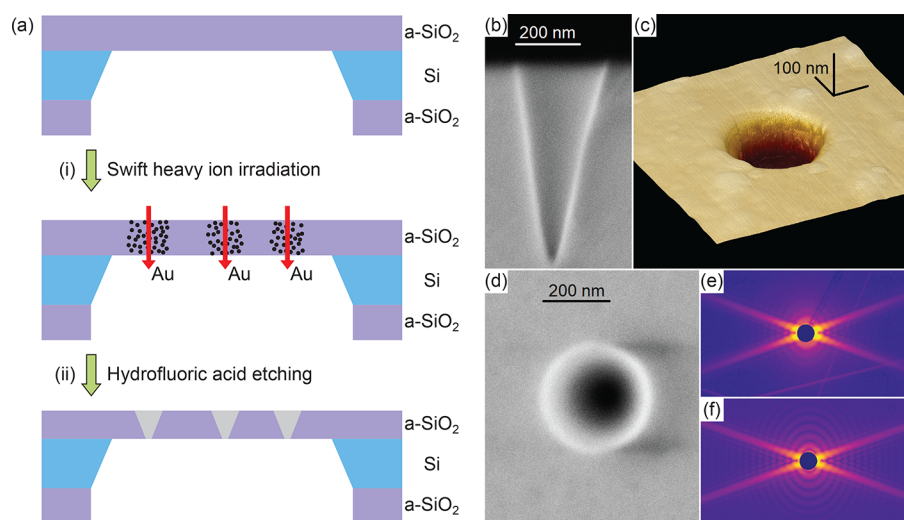
with the permittivity of the liquid  $\epsilon = 80.2$ , the vacuum permittivity  $\epsilon_0$ , and the Debye length  $\lambda_D = \sqrt{\sum_i \epsilon\epsilon_0 k_B T / e^2 c_i z_i}$ .  $c_i$  and  $z_i$  are the concentration and charge of the ionic species  $\text{K}^+$ ,  $\text{Cl}^-$ ,  $\text{H}^+$ , and  $\text{OH}^-$ , respectively. Solving eqs 5 and 6 self-consistently yields the  $\zeta$ -potential as well as the surface charge density as a function of the pH

and the electrolyte concentration. Hence, in the nonlinear least-squares fitting of the conductance as a function of pH and concentration using eq 1, the  $\text{p}K_a$ ,  $\text{p}K_b$ , and pI are fitting parameters and thus directly obtained. This is achieved by parallel fitting of the conductance as a function of pH and the conductance as a function of concentration (see below).

### 3. RESULTS AND DISCUSSION

**3.1. Nanopore Fabrication.** The nanopores were created in  $920 \pm 5 \text{ nm}$  thick,  $55 \times 66 \mu\text{m}^2$  a-SiO<sub>2</sub> windows using the track etch technique,<sup>56</sup> which is schematically shown in Figure 2a. First, the a-SiO<sub>2</sub> windows were irradiated with 2.2 GeV <sup>197</sup>Au ions at the Universal Linear Accelerator UNILAC (GSI Helmholtz Centre for Heavy Ion Research Darmstadt, Germany) with a nominal fluence of  $10^6 \text{ ions cm}^{-2}$ . Because of the small membrane size, fluctuations in the number of pores occur, and the real fluence across the membrane was  $4.4 \times 10^5 \text{ ions cm}^{-2}$  based on the number of pores found (i). When passing through the material, the ions generate a narrow cylindrical damage region along their path.<sup>57</sup> These so-called ion tracks are more susceptible to chemical etching than the undamaged material. After irradiation, the membrane was taped to a silicon wafer using Kapton tape and immersed in 2.5% hydrofluoric acid at room temperature, which removes material along the track thus at a higher rate than the surrounding matrix (ii).<sup>44</sup> The setup used for etching is described in more detail in the Supporting Information (Figure S1). This process creates highly uniform conical nanopores in the thin a-SiO<sub>2</sub> windows. To stop the etching, the membranes were rinsed with and stored in deionized water until they were characterized.

With this fabrication technique, we can manufacture nanopore membranes with a wide range of different morphologies. By varying the thickness of the a-SiO<sub>2</sub> layer, nanopores with lengths between 200 and 1000 nm can be created. By combining a variable irradiation fluence of up to  $10^9 \text{ ions cm}^{-2}$  and window sizes ranging from  $30 \times 30$  to  $700 \times 700 \mu\text{m}^2$ , membranes containing between 10 and 5000000 nanopores can potentially be achieved. Single ion irradiation



**Figure 2.** (a) Nanopore fabrication process using the track etch technique. (i) The a-SiO<sub>2</sub> membrane is irradiated with swift heavy ions (Au ions), creating damage in the material. (ii) The damage is etched using hydrofluoric acid, forming conical nanopores. (b–f) Structural characterization using scanning electron microscopy (SEM), atomic force microscopy (AFM), and small-angle X-ray scattering (SAXS). (b) SEM: cross section of a nanopore from a different membrane, fabricated under the same conditions. (c) AFM: high-resolution image of a nanopore. (d) SEM: plan view of a nanopore. (e, f) SAXS: (e) 2D scattering image and (f) fit to the data of a different membrane, fabricated under identical conditions.

leading to a single pore is also possible.<sup>30</sup> The half-cone angle can be tuned between 10° and 18° by using different irradiation energies and adjusting the etching conditions.<sup>58</sup> The nanopore etching process is very reproducible, as demonstrated previously for etch pits in thin films of a-SiO<sub>2</sub>.<sup>44,56,58</sup> This technique has now been translated to a-SiO<sub>2</sub> membranes. The biggest variance between membranes is the number of pores for low irradiation fluences. While aiming for a fluence of 10<sup>6</sup> ions cm<sup>-2</sup>, which would yield 36 pores, we obtained only 16 pores. Analyzing multiple membranes reveals that up to 50 pores per membrane can be created at this nominal fluence. This statistical variance is negligible at fluences above 10<sup>7</sup> ions cm<sup>-2</sup>.

Here, we are presenting a detailed structural characterization and the ionic transport properties of conical nanopores in an a-SiO<sub>2</sub> membrane. Further descriptions of the experiments are given in the [Supporting Information](#).

**3.2. Structural Characterization.** Scanning electron microscopy (SEM) is a useful tool to estimate the pore base radius, number of pores, and pore distribution across the nanopore membrane. [Figures 2b](#) and [2d](#) show a cross section and a plan view of a conical nanopore. To acquire a cross section, the membrane is broken in half. As this is a destructive technique, [Figure 2b](#) is from a different membrane fabricated under identical conditions. From the SEM data, we conclude that the membrane contains 16 nanopores with an average minimum distance to the neighboring pore of 7.8 ± 4.6 μm (see [Figure S2](#)) and a pore base radius of 169 ± 7 nm (see [Figure S3](#)). The uncertainties are the standard deviations obtained from averaging over multiple pores. The spacing of the nanopores is sufficient to rule out any meaningful contributions of inter pore interactions.<sup>35</sup>

The base radius of the pores can also be determined using atomic force microscopy (AFM). As presented in [Figure 2c](#), AFM provides a high-resolution image of the nanopore, from which a base radius of 158.3 ± 2.6 nm is obtained. The uncertainty is the standard deviation obtained by averaging over multiple pores. The difference in base radius compared to the SEM measurements might be due to the difficulty in defining the edge of the pore in either measurement technique and limited resolution. For more information, see [Figures S4](#) and [S5](#).

Using ellipsometry (JA Woollam M-200D ellipsometer) and surface profiling (Bruker Dektak surface profiler), the membrane thickness and hence the pore length were determined to 710 ± 5 nm, indicating a thinning down from the original thickness of 920 ± 5 nm by 210 ± 5 nm during the etching process. With an etching time of 14.5 min, this results in a bulk etch rate of 14.5 ± 0.4 nm min<sup>-1</sup>. Based on the pore geometry and the kinetics of the etching process, this allows the computation of the track and radial etch rate to 66.5 ± 1.9 and 11.6 ± 0.3 nm min<sup>-1</sup>, respectively.<sup>59,60</sup> Due to the interference of the ellipsometry laser with the nanopores, the thickness of the membrane could not be determined directly by ellipsometry only. Thus, the step between an unetched area of the membrane was compared to the etched area using surface profiling.

To gain precise measurements of the cone angle of the nanopores, synchrotron-based small-angle X-ray scattering (SAXS) was performed at the SAXS/WAXS beamline at the Australian Synchrotron (Melbourne, Australia) with an X-ray energy of 12 keV. By tilting the membrane with respect to the X-ray beam and analyzing the change in scattering pattern, the

cone angle of the pores can be determined. This measurement has been performed using a different membrane that was fabricated under identical conditions irradiated with a fluence of 10<sup>8</sup> ions cm<sup>-2</sup> to obtain a sufficiently high SAXS signal. It can be assumed that the etching process and the SAXS measurements are unaffected by the difference in fluence, as in both cases the pore overlap is negligible.<sup>20</sup> [Figure 2e](#) shows the 2D scattering image with the characteristic x-wing pattern and oscillations in intensity for a tilt angle of 41°. By performing a fit of the 2D scattering data ([Figure 2f](#)) to our theoretical model, we find that the half-cone angle of the nanopores is 12.6 ± 0.1° (more SAXS measurements are presented in [Figure S6](#)). A detailed explanation of the measurement and data analysis technique is given by Hadley et al.<sup>56</sup>

Utilizing the structural characterization using the different measurement techniques, we can predict the tip radius of the nanopore  $r_t$  using the relation

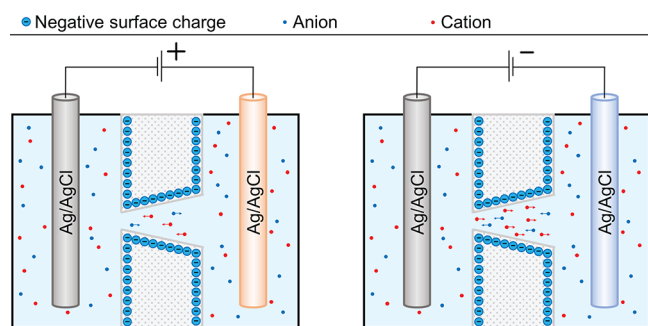
$$r_t = r_b - L \tan \vartheta \quad (7)$$

where  $r_b$  is the base radius,  $L$  is the length, and  $\vartheta$  is the half-cone angle of the nanopore. The tip radius calculated by this method varies between 0 and 11 nm due to the uncertainties determining the base radius using AFM and SEM (a more comprehensive analysis is given in the [Supporting Information](#), [Figure S7](#)). It is important to note that the cone angle determined by SAXS has an uncertainty of only 0.1° and thus does not contribute significantly to this. Conductometry measurements, however, will provide a better measure for the tip radius, which is presented in the following section.

**3.3. Ion Transport and Surface Properties.** The ionic transport characteristics of the nanopores were analyzed as a function of electrolyte concentration and pH conditions. The measurements were conducted by inserting the nanopore membrane between two compartments, which are filled with a potassium chloride (KCl) solution at concentrations ranging from 0.1 to 1000 mM and pH values between 1.8 and 10.1. The pH was adjusted by adding HCl or KOH. At room temperature, the etch rate of SiO<sub>2</sub> at these pH values is ≪1 nm h<sup>-1</sup>, and thus no impact on the size or shape of the pores is expected.<sup>61,62</sup> Using Ag/AgCl electrodes on either side of the membrane, we measured the current–voltage ( $I$ – $V$ ) characteristics of the pores between –1 and 1 V to determine the membrane conductance and ion current rectification (ICR). The setup is described in more detail in the [Supporting Information](#) ([Figure S8](#)). The conductance was determined in the linear regime of the  $I$ – $V$  curve around 0 V between –0.1 and 0.1 V. The convention for conical nanopore experiments is that the polarity of the voltage is determined by the electrode at the cone base, as shown in [Figure 3](#).

In a charged nanopore, the concentration of counterions to the surface charge is greatly increased, and thus the majority of the ionic current is carried by them. As the electric field in the tip region of a conical nanopore is greatly increased, transport of majority charge carriers from tip to base is promoted, and a large ionic current is observed. This case is called the high conductance state. If the polarity of the electric field is reversed, transport is hindered, which is termed the low conductance state.

[Figure 4a](#) shows the  $I$ – $V$  curves for the pH series. A magnified plot depicting the current around 0 V, which was used to determine the membrane conductance, is presented in [Figure S9](#). In acidic conditions, the high conductance state of the membrane occurs at positive voltages, indicating a positive



**Figure 3.** Conductometric nanopore experiments: Scheme of the setup with a negatively charged nanopore membrane. Because of the negative surface charge, there are more cations than anions transported through the pore. In the positive case (left), the pore is ion depleted, representing the low conductivity state. For negative voltages (right), the concentration inside the pore is increased, corresponding to the high conductivity state.

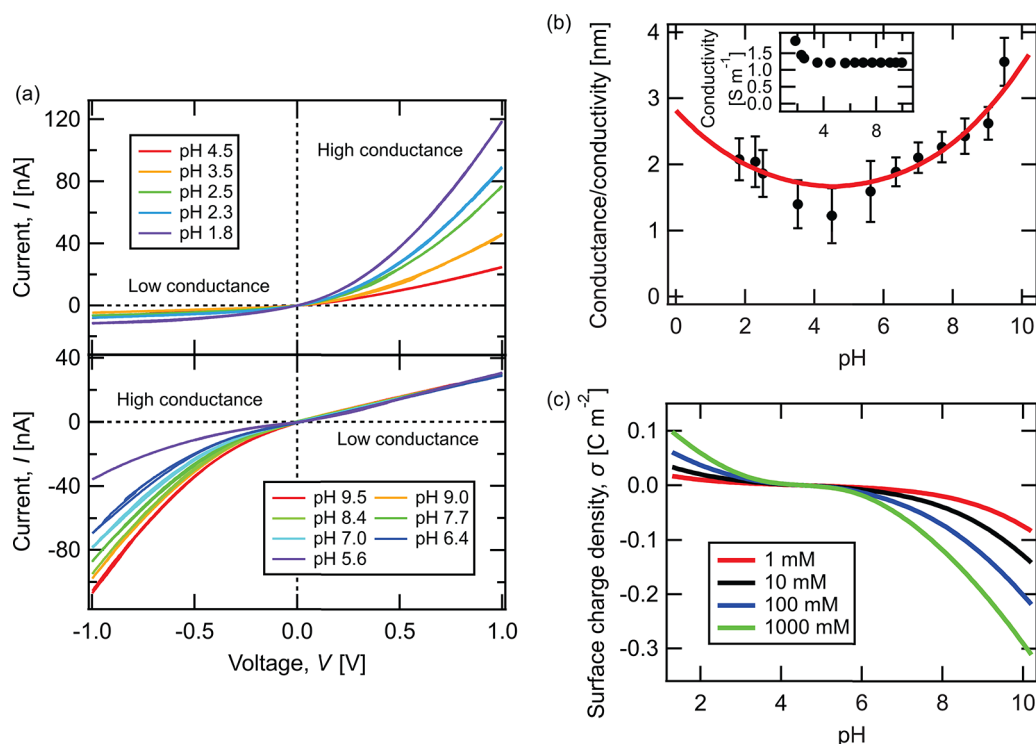
surface charge which is the opposite in basic conditions, indicating a negative surface charge. In either case, the high conductivity state is strongly dependent on the pH, while the low conductivity state is almost unaffected. In the high conductivity state, the ionic current is dominated by surface effects, indicating a large change in surface charge with varying pH. As in the low conductivity state the current is dominated by bulk behavior, the ionic current is almost unaffected by changes in pH.

Figure 4b shows the membrane conductance as a function of pH. The symbols are our measurements, and the solid line represents a fit to eq 1. The membrane conductance is normalized by the electrolyte conductivity as it changes slightly

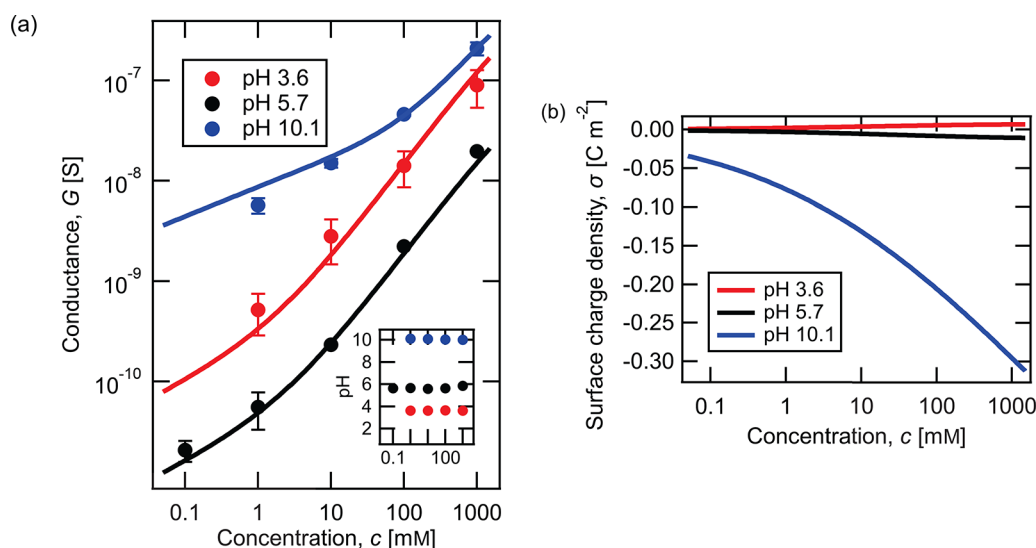
with pH (inset). The minimum conductivity occurs at a pH of 4.5, at which the surface charge density reaches  $0 \text{ C m}^{-2}$  as plotted in Figure 4c. Figure 4c shows the surface charge densities that are calculated using the experimentally obtained  $pK_a$ ,  $pK_b$ , and  $pI$  values and eqs 5 and 6. At the point of zero surface charge density, which is the isoelectric point  $pI$ , the pores only exhibit bulk current behavior. In more acidic conditions, the surface becomes positively charged, and in more basic conditions, the pore becomes negatively charged.

Figure 5a shows the membrane conductance as a function of electrolyte concentration for three pH values. The symbols are our measurements, and the solid line is the fit to eq 1. We note that due to the contribution of HCl and KOH when adjusting the pH, for pH values of 3.6 and 10.1, the concentration of KCl was limited to 1 mM. At 1 mM adjusting the pH changes the concentration of  $\text{Cl}^-$  and  $\text{K}^+$  by approximately 25%. However, this does not alter the results and is included in the uncertainty calculations (see below). At a pH of 3.6, the surface is positively charged, at a pH of 5.7, the pores are slightly negatively charged, and at a pH of 10.1, the pores are strongly negatively charged, which is reflected in Figure 5b. At high concentrations, the overall conductance is dominated by bulk behavior, as the Debye length becomes smaller (see Table 1). The Debye length describes the screening length of the surface charge; a reduced Debye length is correlated to a thinner electrical double layer (EDL). At lower concentrations, where the slope of the conductance changes, surface effects start to dominate over bulk behavior.

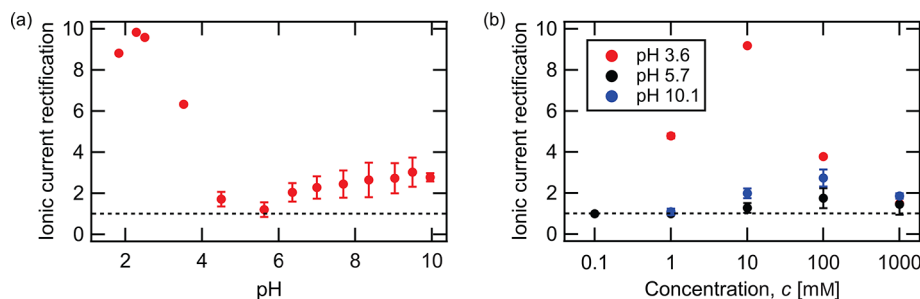
By parallel analysis and nonlinear least-squares fitting of the pH- and concentration-dependent membrane conductance with eq 1, the  $pK_a$ ,  $pK_b$ , and  $pI$  values as well as pore size can



**Figure 4.** (a)  $I$ - $V$  curves in 100 mM KCl for the pH series in acidic and basic conditions. (b) Experimental membrane conductance (symbols) including fit to eq 1 (lines) for the pH series at a concentration of 100 mM. The conductance is normalized by the electrolyte conductivity, as the conductivity slightly varies with pH (inset). (c) Calculated surface charge densities as a function of pH for different concentrations for our nanopore system. The surface charge densities were calculated using the experimentally obtained  $pK_a$ ,  $pK_b$ , and  $pI$  values and eqs 5 and 6.



**Figure 5.** (a) Experimental membrane conductance (symbols) including fit to eq 1 (lines) for the concentration series for three different pH values and pH as a function of concentration (inset). (b) Calculated surface charge densities as a function of concentration for different pH values for our nanopore system. The surface charge densities were calculated using the experimentally obtained  $pK_a$ ,  $pK_b$ , and  $pI$  values and eqs 5 and 6.



**Figure 6.** Ionic current rectification (ICR) as a function of (a) pH at a concentration of 100 mM and (b) concentration for three different pH values. The dashed line indicates a rectification ratio of 1, i.e., no rectification and a symmetric current.

be determined. During fitting, the data were weighted by the standard deviation, which was obtained by repeating each measurement twice. We obtain a pore size of  $5.7 \pm 0.1$  nm, where the uncertainty is the 95% confidence interval of the fit. This is well within the range of the pore size determined by the structural characterization. The  $pK_a$ ,  $pK_b$ , and  $pI$  are  $7.6 \pm 0.1$ ,  $1.5 \pm 0.2$ , and  $4.5 \pm 0.1$ , respectively, demonstrating that nanopores in track etched a-SiO<sub>2</sub> exhibit similar properties to a-SiO<sub>2</sub> nanoparticles and nanochannels, for which  $pK_a$ ,  $pK_b$ , and  $pI$  values of 6.6–8, 0–2, and <5, respectively, have been reported.<sup>54,63–66</sup> The actual  $pI$  value is most likely slightly above 4.5, as the  $I$ – $V$  curve at a pH of 4.5 is still slightly nonlinear, indicating a positively charged surface. As the surface charge is extremely sensitive to the pH around the  $pI$ , even a slight deviation will cause a surface charge that renders the  $I$ – $V$  curve nonlinear. This deviation is reflected in the uncertainty of our reported  $pI$  value.

**3.4. Ionic Current Rectification.** Ionic current rectification (ICR) is an important characteristic of (asymmetric) nanopores in which the membrane exhibits a directional preference for transport of charged species.<sup>67–70</sup> ICR can be achieved by different means, such as by a varying surface charge density along the nanopore,<sup>71</sup> asymmetries in the electrolyte,<sup>72</sup> or geometry of the pores such as our conical nanopores. ICR is defined as the ratio between the current in the high conductance state to the low conductance state:

$$\text{ICR} = \begin{cases} \left| \frac{I(+1 \text{ V})}{I(-1 \text{ V})} \right| & \text{if } \text{pH} < \text{pI} \\ \left| \frac{I(-1 \text{ V})}{I(+1 \text{ V})} \right| & \text{if } \text{pH} > \text{pI} \end{cases} \quad (8)$$

Figure 6 shows the ICR of our membrane as a function of pH and concentration. The rectification ratio peaks at around 10 in acidic conditions at a pH between 2 and 3 at concentrations between 10 and 100 mM, corresponding to surface charge densities of 0.03–0.04 C m<sup>-2</sup>. This behavior was theoretically predicted by Tseng et al.<sup>73</sup> In the high conductance state, when the surface charge is low, an increase in surface charge will cause a large increase in the concentration of ions within the pore and thus the current. Conversely, in the low conductance state, the concentration of ions barely increases by increasing the surface charge, and thus the current remains almost constant. This effect can be observed in our measurement. Figure 4a shows a large change in the high conductance state between pH values of 4.5 and 2.3, whereas the low conductance state is almost stable and thus ICR increases. However, when the surface charge is increased further, the concentration and thus current saturates in the high conductance state. In the low conductance state, the concentration and thus current will increase significantly. We observe this effect when adjusting the pH from 2.3 to 1.8,

and hence ICR decreases. Thus, for any given pore geometry, ICR will have a maximum value at a certain surface charge density, which is 0.03–0.04 C m<sup>-2</sup> in our nanopore system.

**3.5. Comparison to Other Nanopore Systems.** The conical nanopores in a-SiO<sub>2</sub> presented here have the potential to show increased performance compared to other asymmetric nanopore systems. As polymer membranes are the most commonly used asymmetric multipore membranes, they provide a good baseline for comparison. Based on the geometry of our nanopores, it is possible to compare the conductance and ICR as well as the potential performance of different applications such as electroosmotic pumps and nanofluidic osmotic power generation. To do this, it will be assumed that tip size and surface charge will be the same, as similar values have been reported in other systems.<sup>15</sup> The only differences stem from the cone angle and pore length.

The pore conductance is inversely proportional to the square root of the pore length  $G \propto \sqrt{1/L}$  and proportional to the square root of the half-cone angle  $G \propto \sqrt{\theta}$ . Our 710 nm pore should have a conductance that is higher by a factor of 1.7, 3.8, and 6.5 than a polymeric pore of lengths 2, 10, and 30 μm, respectively. Similarly, a 12.6° pore should have a conductance that is higher by a factor of 3.5, 2.0, and 1.6 than a polymeric pore of cone angles 1°, 3°, and 5°, respectively. Unfortunately, a direct comparison to literature values is not possible as this would require a pore with exactly the same size and surface charge and the experiment to be conducted under precisely the same conditions. Combining both a reduced length and higher cone angle increases the conductance even further. Additionally, if the experimental conditions are optimized, ideal ICR occurs at half-cone angles between 10° and 15°. Thus, a-SiO<sub>2</sub> pores should perform better than pores in polymers in both aspects.

It has been predicted that the ideal pore length for power generation is in the range of 400–1000 nm.<sup>15</sup> Above that, the membrane resistance starts to greatly reduce the power output. For thinner membranes, concentration polarization drastically impacts the performance.<sup>15</sup> Our nanopores reside within this optimal length window. Another problem for making nanofluidic osmotic power generation viable is scaling the performance of a single nanopore to a larger number of pores. With increasing areal pore density, pores get closer to each other. At a certain distance, interpore effects greatly decrease the overall performance. It has been shown that an interpore distance of 500 nm yields the maximum power output.<sup>15</sup> With a pore base radius of 164.4 nm, the average minimum distance to the closest neighbor is achieved at a fluence of  $3.7 \times 10^7$  ions cm<sup>-2</sup>. The polymer membrane that was used by Guo et al. for power generation had a thickness of 12 μm, a half-cone angle of 2.8°, and a base radius of 600 nm.<sup>74</sup> To achieve an ideal interpore distance, only a fluence of  $0.9 \times 10^7$  ions cm<sup>-2</sup> is possible. Thus, with a 4 times higher pore density as well as a reduced length, our a-SiO<sub>2</sub> system offers a potential gain in performance. A disadvantage of our membrane platform compared to polymers is the limited size of our membranes. Above  $700 \times 700 \mu\text{m}^2$ , the membranes become too fragile to work with. The size of polymer membranes is only limited by the capabilities of the ion accelerator facility, and membranes with diameters of many centimeters have been fabricated. However, a-SiO<sub>2</sub> membranes are ideal for smaller systems and can be readily integrated into lab-on-a-chip devices.

As the pressure driven flow  $Q$  of an electroosmotic pump is proportional to the cone angle  $Q \propto \tan \theta$ , it can be estimated that our system would provide a 7.1 times higher flow than a similar system in a polymer with a cone angle of 1.8°. Additionally, the same argument regarding scalability as for power generation can be made. Because of the larger possible fluence without causing too much interpore interaction, a higher pore density and thus performance can be achieved.

Another technique with which conical nanopores can be fabricated is electron beam lithography (EBL) followed by reactive ion etching (RIE) and chemical etching.<sup>75,76</sup> The big advantage of this technique is the ability to precisely determine the location of each individual nanopore. Thus, ideal placement of nanopores can be guaranteed, i.e., equidistant spacing and distribution across the membrane. This is not the case for track etched membranes, where the pores are randomly distributed. However, this fabrication method comes with its own drawbacks. The limited speed of EBL makes large-scale fabrication unfeasible. Exposing an area of just 1 cm<sup>2</sup> can take up to 12 days.<sup>77</sup> This severely limits the total number of pores that can be reasonably fabricated. Another issue is the lack of customizability of the nanopores. Being able to adjust the cone angle of the pores is crucial, as depending on the desired application and other factors such as geometrical properties or electrolyte conditions, different cone angles are required for optimization. An example is SiN, where the cone angle cannot be readily changed.<sup>78</sup> In contrast, we can tune the cone angle of our nanopores using different ion irradiation energies, ion species, or different HF concentrations for etching.<sup>58</sup>

## 4. CONCLUSIONS

We presented a detailed characterization of conical nanopores in a-SiO<sub>2</sub> that were fabricated using the track etch technique. The geometrical structure of the nanopore membrane was characterized using SAXS, AFM, SEM, ellipsometry, and surface profiling. The multipore membrane used in this research contains 16 nanopores with tip radii of  $5.7 \pm 0.1$  nm. We determined the important surface parameters pK<sub>a</sub>, pK<sub>b</sub>, and pI, which are  $7.6 \pm 0.1$ ,  $1.5 \pm 0.2$ , and  $4.5 \pm 0.1$ , respectively, which are in good agreement with those from other SiO<sub>2</sub> systems. The maximum ICR was determined to be around 10 at pH 2.3.

It is important to note that these measurements have been performed with a multipore system. Frequently, characterizations are performed using single nanopores, and scaling those to multipores can lead to a significant loss in performance.<sup>35,79</sup> ICR is an extremely important property of nanopore systems, as ICR enables the development of innovative applications as well as improving the performance of nonrectifying membranes. ICR has been utilized to create new biosensors<sup>80,81</sup> as well as demonstrate superior performance in power generation.<sup>15,82</sup> Electroosmotic pumps (EOPs) that operate in the AC mode have also been developed.<sup>16</sup> We have shown that our system has the potential to show performance gains compared to existing nanopore platforms in terms of conductance, ICR, EOP performance, and nanofluidic osmotic power generation. Additionally, a-SiO<sub>2</sub> membranes could be scaled to larger pore densities compared to some polymers without compromising performance compared to different materials.

a-SiO<sub>2</sub> membranes can be readily integrated into lab-on-a-chip devices. Additionally, a-SiO<sub>2</sub> is well suited for chemical

surface functionalization through a variety of techniques, such as physical vapor deposition,<sup>83</sup> electroless plating,<sup>84</sup> and atomic layer deposition.<sup>85</sup> Another well-studied technique is the silanization process: due to the oxide/hydroxyl groups on the surface, alkylsilane or organosilane monolayers can be self-assembled.<sup>86</sup> Those monolayers can then be further modified if necessary. While silane-based surface modifications are possible in other materials like polymers, they require additional steps due to the inability of the functional groups to bind to the surface. These surface modification techniques can potentially enable applications with significantly enhanced performance to currently established techniques. This nanopore system thus provides a new versatile platform for the development of advanced integratable sensor and separation systems.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsnm.3c00960>.

Hydrofluoric acid etching setup; scanning electron microscopy analysis; atomic force microscopy analysis; small-angle X-ray scattering analysis; pore tip radius calculation; ion transport measurements; *I*–*V* data around 0 V (PDF)

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### Notes

The authors declare no competing financial interest.

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